

**ESSEX BUSINESS SCHOOL
UNIVERSITY OF ESSEX
RULES OF ASSESSMENT FOR FULL-TIME INTEGRATED PhD ACCOUNTING
2019/20 onwards**

1. PREAMBLE

These rules apply to the first year taught programme of the N40048 PhD Integrated Accounting.

The rules should be read alongside the programme structures as outlined in SECTION 2. It is for the EBS PHD Progress Board to determine whether the criteria for progression has been met. This Board will convene at an interim meeting at the beginning of July each year, and in December following completion of the Level 7 dissertation stage of each of the programmes. Both these meetings will be chaired by the PhD Director.

The final award of the PhD is decided by the two examiners following a viva examination of the PhD Thesis following the Higher Degree Regulations.

2. PROGRAMME STRUCTURES

N40048 PhD Integrated Accounting

| | | |
|--------------------|---|------------|
| BE150 (compulsory) | Issues in Financial Reporting | 20 credits |
| or | | |
| BE151 (compulsory) | Management Accounting | 20credits |
| BE950 (core) | Research Evaluation Projects | 20credits |
| BE951 (core) | Research Methods in Accounting | 20credits |
| BE952 (core*) | Philosophy of Management & Accounting | 20 credits |
| BE959 (compulsory) | Advanced Research Methods: Empirical Explorations of the Contemporary Workplace | 20 credits |
| BEXXX (option) | One Approved option from School List | 20credits |
| BE983 (core) | Dissertation | 60 credits |

* Differs from the Masters programme of study in classification as 'core module'

3. ELIGIBILITY FOR CONTINUING ONTO 2ND YEAR OF INTEGRATED PROGRAMME: N40048 PhD Integrated Accounting

To continue onto the second year of the integrated programme a student should:

- Participate in the 180 credit programme of study as outlined above relating to their discipline.
- Obtain an overall weighted average of 65 over the 180 credit programme.
- Pass all core modules with a minimum mark of 60 in each core module for progression onto the MPhD programme. For the purposes of these rules taught module averages, the overall weighted average for the taught modules and the mark for the dissertation will be rounded to the nearest whole number – i.e. 64.5 will count as 65, 64.4 as 64.

- (d) Students may have a second attempt for module(s) that have been failed, up to a maximum of 60 taught module credits.
- (e) No second attempt at the assessment for a module is permitted prior to the Board of Examiners meeting where marks are confirmed.
- (f) The maximum mark that will be recorded for a module as a result of a second attempt is 50, unless there are substantiated extenuating circumstances accepted by the Board of Examiners.
- (g) The Board of Examiners will reinstate the module mark for a previous attempt at a failed module if it is higher than the mark for the most recent attempt.
- (h) Failure with a mark of 40 or more for up to 40 credits in non-core taught modules, will be condoned provided the overall weighted average for all the taught modules is 50.

Students may simultaneously be considered for the degree award of MRes Accounting upon successful completion of the taught modules and dissertation. This decision will be made separately by the PGT board of Examiners.

4. EXIT ROUTES

Students who do not fulfil the above criteria will automatically be put forward for the Masters degree corresponding to their subject area. Success for this award will be based on standard rules of assessment for Postgraduate Taught modules.

It is for the PGT Board of Examiners to determine whether the criteria for a Masters award has been satisfied. Students can be considered for the award of a Distinction and Merit for an exit award.

Re-submission of Dissertations or equivalent

- (a) Re-submission of dissertations or equivalent_ is only permitted in the following circumstances:
 - (i) Either substantiated extenuating circumstances accepted at the discretion of the Board of Examiners;
 - or
 - (ii) Where the original mark awarded is at least 40 and the Board of Examiners judges that the work required to achieve a pass does not include any additional experimental or practical work.
- (b) Re-submission is subject to the following conditions:
 - (i) A dissertation dissertations or equivalent must normally be re-submitted within two months of the formal notification of permission to resubmit; in cases of extenuating circumstances, this may be extended to a maximum of twelve months;
 - (ii) A dissertation dissertations or equivalent which has been re-submitted because of a marginal fail shall be awarded no more than a maximum mark of 50; in the case of re-submissions because of extenuating circumstances, the full range of marks shall be available to examiners.

**ESSEX BUSINESS SCHOOL
UNIVERSITY OF ESSEX
RULES OF ASSESSMENT FOR FULL-TIME INTEGRATED PhD FINANCE
2019/20 onwards**

1 PREAMBLE

N30048 PhD Integrated Finance

The rules should be read alongside the programme structures as outlined in SECTION 2. It is for the EBS PHD Progress Board to determine whether the criteria for progression has been met. This Board will convene at an interim meeting at the beginning of July each year, and in December following completion of the Level 7 dissertation stage of each of the programmes. Both these meetings will be chaired by the PhD Director.

The final award of the PhD is decided by the two examiners following a viva examination of the PhD Thesis following the Higher Degree Regulations.

2 PROGRAMME STRUCTURES

N30048 PhD Integrated Finance

| | | | |
|--------------------|---------------------------------------|----|---------|
| BE351 (compulsory) | Derivative Securities | 20 | credits |
| BE352 (compulsory) | Asset Pricing | 20 | credits |
| BE354 (core*) | Portfolio Management | 20 | credits |
| BE953 (core) | Research Methods in Finance: | 20 | credits |
| | Empirical Methods in Finance | | |
| BEXXX (options) | Two Approved options from School List | 40 | credits |
| BE983 (core) | Dissertation | 60 | credits |

* Differs from the Masters programme of study in their classification as 'core modules'

3 ELIGIBILITY FOR CONTINUING ONTO 2ND YEAR OF INTEGRATED PROGRAMME : N30048 PhD Integrated Finance

To continue onto the second year of the integrated programme a student should:

- Participate in the 180 credit programme of study as outlined above relating to their discipline.
- Obtain an overall weighted average of 65 over the 180 credit programme.
- Pass all core modules with a minimum mark of 60 in each core module for progression onto the MPhD programme. For the purposes of these rules, taught module averages, the overall weighted average for the taught modules and the mark for the dissertation will be rounded to the nearest whole number – i.e. 64.5 will count as 65, 64.4 as 64.
- Students may have a second attempt for module(s) that have been failed, up to a maximum of 60 taught module credits.
- No second attempt at the assessment for a module is permitted prior to the Board of Examiners meeting where marks are confirmed.
- The maximum mark that will be recorded for a module as a result of a second attempt is 50, unless there are substantiated extenuating circumstances accepted by the Board of Examiners.

- (g) The Board of Examiners will reinstate the module mark for a previous attempt at a failed module if it is higher than the mark for the most recent attempt.
- (h) Failure with a mark of 40 or more for up to 40 credits in non-core taught modules will be condoned provided the overall weighted average for all the taught modules is 50.

Students may wish to be considered for the degree award of MSc Finance upon successful completion of the taught modules and dissertation. This decision will be made separately by the PGT board of Examiners.

4 EXIT ROUTES

Students who do not fulfil the above criteria will automatically be put forward for the Masters degree corresponding to their subject area. Success for this award will be based on standard rules of assessment for Postgraduate Taught modules.

It is for the PGT Board of Examiners to determine whether the criteria for a Masters award has been satisfied. Students can be considered for the award of a Distinction and Merit for an exit award.

Re-submission of Dissertations or equivalent

- a) Re-submission of dissertations or equivalent is only permitted in the following circumstances:
 - (i) Either substantiated extenuating circumstances accepted at the discretion of the Board of Examiners;
 - or
 - (ii) Where the original mark awarded is at least 40 and the Board of Examiners judges that the work required to achieve a pass does not include any additional experimental or practical work.
- b) Re-submission is subject to the following conditions:
 - (i) A dissertation must normally be re-submitted within two months of the formal notification of permission to resubmit; in cases of extenuating circumstances, this may be extended to a maximum of twelve months;
 - (ii) A dissertation which has been re-submitted because of a marginal fail shall be awarded no more than a maximum mark of 50; in the case of re-submissions because of extenuating circumstances, the full range of marks shall be available to examiners.

**ESSEX BUSINESS SCHOOL
UNIVERSITY OF ESSEX
RULES OF ASSESSMENT FOR FULL-TIME INTEGRATED PhD MANAGEMENT
2019/20 onwards**

1 PREAMBLE

These rules apply to the first year programme of the following Programmes:

N20048 PhD Integrated Management

The rules should be read alongside the programme structures as outlined in SECTION 2. It is for the EBS PHD Progress Board to determine whether the criteria for progression has been met. This Board will convene at an interim meeting at the beginning of July each year, and in December following completion of the Level 7 dissertation stage of each of the programmes. Both these meetings will be chaired by the PhD Director.

The final award of the PhD is decided by the two examiners following a viva examination of the PhD Thesis following the Higher Degree Regulations.

2 PROGRAMME STRUCTURES

N20048 PhD Integrated Management

| | | |
|--------------------|--|------------|
| BE450 (compulsory) | Management and Organisational Behaviour | 20 credits |
| or | | |
| BE557 (compulsory) | Perspectives on Marketing | 20 credits |
| BE965 (core) | Research Methods in Management and Marketing | 20 credits |
| BE952 (core*) | Philosophy of Management & Accounting | 20 credits |
| BE950 (core) | Research Evaluation Projects | 20 credits |
| BE959 (compulsory) | Advanced Research Methods: Empirical Explorations of the Contemporary Workplace | 20 credits |
| BEXXX (option) | One Approved option from School List | 20 credits |
| BE983 (core) | Dissertation | 60 credits |

* Differs from the Masters programme of study in their classification as 'core module'

3 ELIGIBILITY FOR CONTINUING ONTO 2ND YEAR OF INTEGRATED PROGRAMME: N20048 PhD Integrated Management

To continue onto the second year of the integrated programme a student should:

- Participate in the 180 credit programme of study as outlined above relating to their discipline.
- Obtain an overall weighted average of 65 over the 180 credit programme.

- (c) Pass all core modules with a minimum mark of 60 in each core module for progression onto the MPhD programme. For the purposes of these rules taught module averages, the overall weighted average for the taught modules and the mark for the dissertation will be rounded to the nearest whole number – i.e. 64.5 will count as 65, 64.4 as 64.
- (d) Students may have a second attempt for module(s) that have been failed, up to a maximum of 60 taught module credits.
- (e) No second attempt at the assessment for a module is permitted prior to the Board of Examiners meeting where marks are confirmed.
- (f) The maximum mark that will be recorded for a module as a result of a second attempt is 50, unless there are substantiated extenuating circumstances accepted by the Board of Examiners.
- (g) The Board of Examiners will reinstate the module mark for a previous attempt at a failed module if it is higher than the mark for the most recent attempt.
- (h) Failure with a mark of 40 or more for up to 40 credits in non-core taught modules, will be condoned provided the overall weighted average for all the taught modules is 50.

Students may simultaneously be considered for the degree award of MRes Management and Organisations upon successful completion of the taught modules and dissertation. This decision will be made separately by the PGT board of Examiners.

4 EXIT ROUTES

Students who do not fulfil the above criteria will automatically be put forward for the Masters degree corresponding to their subject area. Success for this award will be based on standard rules of assessment for Postgraduate Taught modules.

It is for the PGT Board of Examiners to determine whether the criteria for a Masters award has been satisfied. Students can be considered for the award of a Distinction and Merit for an exit award.

Re-submission of Dissertations or equivalent

Re-submission of dissertations or equivalent is only permitted in the following circumstances:

- (i) Either substantiated extenuating circumstances accepted at the discretion of the Board of Examiners;
- or
- (ii) Where the original mark awarded is at least 40 and the Board of Examiners judges that the work required to achieve a pass does not include any additional experimental or practical work.

Re-submission is subject to the following conditions:

- (i) A dissertation or equivalent must normally be re-submitted within two months of the formal notification of permission to resubmit; in cases of extenuating circumstances, this may be extended to a maximum of twelve months;
- (ii) A dissertation or equivalent which has been re-submitted because of a marginal fail shall be awarded no more than a maximum mark of 50; in the case of re-

submissions because of extenuating circumstances, the full range of marks shall be available to examiners.

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SENATE 2019