**UNIVERSITY OF ESSEX**

**POSTGRADUATE RESEARCH EDUCATION TEAM**

**APPLICATION FOR PHD BY PUBLICATION CANDIDATURE**

A candidate for the degree of Doctor of Philosophy by Publication must be either:

* a graduate of this University, or
* a current member of staff of the University and its Partner Institutions or have been a member of staff of the University and its Partner Institutions within the past 12 months, or
* a graduate of another University with at least five year’s standing, normally holding a bachelor’s degree or equivalent, and evidence of substantial professional work experience

**and** have published work (excluding self-publication) of a nature and depth consistent with the doctoral level research and/or practice.

Current members of staff applying for candidacy for PhD by Publication, who also meet the requirement to be considered a staff candidate (regulation 4.43 and 4.44) will need to be examined in accordance with that regulation.

Please read the guidance notes for PhD by Publication before completing this form: [PhD by Publication | University of Essex](https://www.essex.ac.uk/student/postgraduate-research/phd-by-publication)

**Requirements for submission of Published work**

The PhD by Publication enables the approved candidate to submit their previously published work as the equivalent to a thesis submission for the award of a PhD:

1. The PhD by Publication must include works of an equivalent quantity and quality to that expected for the award of a PhD by thesis submission. If an applicant does not have the equivalent quantity of published work, it is possible to supplement with new (supervised) research which would be considered as PhD by part published work and part thesis. Admission for this would be via the standard PhD application via PG Admissions.
2. As well as standard academic or creative publications, ‘published work’ may include performance, exhibition, installation, media of various kinds as defined in relevant regulations and policies, provided that it is made available in an appropriate recorded format. See [PGR award requirements](https://www.essex.ac.uk/staff/exams-and-assessment/pgr-award-requirements).
3. The published work submitted must be formally published by the date of registration; works not yet submitted for publication are ineligible for submission. The works must form a coherent body of research, which is timely and current (as determined by academic judgement).
4. A written critical analysis (7,000 – 10,000 words) should accompany the published work (or Practice as Research submission). The critical analysis should provide an introduction to your publications, demonstrating the coherence of the work and contextualising the published work within the discipline. The analysis should also outline the contribution the published work makes to the relevant field(s).
5. In the case of some or all of the published works intended to be submitted for examination being co-authored, disciplinary norms will be observed. In such cases, the applicant is asked to provide a clear statement of co-authorship, which outlines of the published works and whether they are sole or co-authored. In the case of co-authored submissions, the applicant must outline their contribution to the paper, identifying where they were lead author and/or main contributor and their percentage contribution in all cases.
6. The total PhD by Publication thesis submission should be equivalent to the [standard thesis requirements for the degree of Doctor of Philosophy](https://www.essex.ac.uk/student/postgraduate-research/pgr-examination-policy).

The maximum period of study for PhD by Publication is normally six months. However, a period of up to twelve months may be permitted (particularly if greater supervisory input is required for the written critical analysis). A fee of £5,006 (for 2025/26) is charged for admission to the PhD by Publication. Registration is part-time, distance learning, for the approved duration between 6-12 months.

Following discussion with the relevant academic department and identification of a proposed supervisor, applicants should complete the details requested in the ‘applicant details’ section of the form and can either send it directly to their intended supervisor or return to the Postgraduate Research Education Team: pgresearch@essex.ac.uk

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| **APPLICANT DETAILS** |
| **Full name** (including title) |  |
| **List of Publications** submitted for admission to PhD by Publication (You can include a link to an online biography if relevant) |  |
| **Co-authorship statement** (including an outline of the co-authored submissions, and your contribution to the publications, which identifies where you were lead author and/or main contributor and your percentage contribution in all cases). |  |
| **Existing PhD course** title to which admission is sought ([Postgraduate Research Degree Finder | University of Essex](https://www.essex.ac.uk/postgraduate/research/degrees)) |  |
| **Proposed supervisor** (you should have this informally agreed at the point of application) |  |

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| **Previous Higher Education Qualifications** (including dates for periods of study) |
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| **FOR DATABASE RECORD** |
| Gender |  | Date of birth |  |
| Country of birth*(if UK please also state county of birth)* |  | Country of nationality |  |
| Permanent home address, including postcode |  | Country of residence |  |
| External email address |  |

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| **Equal Opportunities Monitoring Information** |
| Are you registered disabled? | YES / NO |
| Ethnic Origin: |  |

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| Applicant’s signature |  | Date |  |

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| **DEPARTMENTAL APPROVAL – TO BE COMPLETED BY HEAD OF DEPARTMENT** |
| The Head of Department (or nominee) considers all formal applications, assessing whether the publications are of a nature consistent with the award of the [degree of Doctor of Philosophy](https://www.essex.ac.uk/staff/exams-and-assessment/pgr-award-requirements). The HoD will make a recommendation to the Faculty Dean (P), outlining the eligibility for admission to the PhD by Publication. The Head will complete the relevant section of the [Application for a PhD by Publication form](https://www.essex.ac.uk/-/media/documents/directories/academic-section/pgre/phd-by-publication-app-form.pdf) (.pdf) and include:* a list of the publications or other outputs on which the assessment for the degree is to be based, accompanied by the Head’s assessment on the suitability of the submitted work. The quality of the publisher/venue/outlet(s) (i.e. scholarly journals, scholarly texts, curated productions) within which publications or other outputs have been published and the timeliness of the research must be included in the assessment, as part of the recommendation
* an assessment of the co-authorship statement (where relevant) and confirmation that they believe the applicant’s contribution total an amount suitable for submission for a PhD by Publication.
* the supervisor(s) to be appointed to support the writing of the critical analysis and advise the candidate on the inclusions of published work and coherence of the body of research work
* a recommendation regarding the duration of registration (based on the extent of additional preparatory work required pre-thesis submission) of between 6 – 12.

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| **Admission outcome** |
| Application eligible for admission? | YES / NO |
| Proposed commencement of studies (1st of which month?) | MONTH: |  | YEAR: |  |
| Course title (must be an [existing PhD title](https://www.essex.ac.uk/course-search?f.Qualification%7CQualificationDescription=PhD&f.Level%7CPGR=Research+degrees&collection=uoe-courses-meta&f.Level%7CcourseLevel=Postgraduate)) |  |

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| Name of supervisor(s) to be appointed |  |
| Recommended duration of registration*(usually 6 months, but up to 12 months can be exceptionally requested. Please provide reasoning for why this student is in need of an extended study period e.g. a greater supervisory input is required for the written critical analysis)* |  |
| List of the publications/outputs on which the assessment for the degree is to be based. |  |
| Head of Department’s assessment on the suitability of the submitted work including: * An assessment of the co-authorship statement (where relevant).
* A comment on the quality of the publisher/venue/outlet(s) within which publications or other outputs have been published and the timeliness of the research.
* Plans for the development of the written critical analysis to accompany the published work.
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| Head of Department signature |  | Date |  |

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| **APPROVAL OF FACULTY DEAN (POSTGRADUATE)** |
| FD (P) assessment of the proposed admission |  |
| Approved by Faculty Dean (P) | YES / NO |
| FD (P) signature |  | Date |  |

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| **PGRE TEAM USE ONLY** |
| Date form received |  |
| Offer of admission letter sent to applicant  |  |
| Applicant accepted offer |  |
| Course record request sent to CRT  |  |
| Student record set-up by SDT  |  |
| Student record check completed by PGRE Team (update reg codes to DL codes if needed)  |  |
| Registration information sent to student  |  |
| Student details added to examination log  |  |