

Principal Regulations for Higher Doctorates

University of Essex, Postgraduate Research Education, Academic Services

Authors: Laura Ruddick Publication date: 1 August 2020

Version: 2.0

Principal	Regulations for	Higher	Doctorates3
Principai	Regulations to	r Higner i	Doctorates 3

Principal Regulations for Higher Doctorates

4.88.

The degrees of Doctor of Letters (DLitt), Doctor of Science (DSc) and Doctor of Laws (LLD) may be conferred by the Senate of the University on candidates who have published work of distinction and merit constituting a substantial, important and original contribution to knowledge or scholarship.

4.89.

The following may become candidates for the degree of Doctor of Letters, Doctor of Science or Doctor of Laws:

- (a) graduates of the University of Essex of not less than ten years' standing following conferment
 of an appropriate Bachelor's degree or Master's degree or not less than seven years'
 standing following conferment of the degree of MPhil or PhD;
- (b) members of the academic staff, or honorary or visiting members of staff, of the University of Essex who have served for not less than three years and who are graduates of an approved institution of not less than ten years' standing following conferment of an appropriate Bachelor's degree or Master's degree, or not less than seven years' standing following conferment of the degree of MPhil or PhD.

4.90.

A person who wishes to be become a candidate for the degree of Doctor of Letters, Doctor of Science or Doctor of Laws must apply in writing to the Vice-Chancellor. The written application must include a full curriculum vitae and a list of the published work that they propose to submit for examination. The Vice-Chancellor, acting on behalf of the Senate and after consulting the appropriate Dean, shall decide whether or not a person be accepted as a candidate.

A candidate for the degree of Doctor of Letters, Doctor of Science or Doctor of Laws must submit in writing to the University:

- (a) three copies of the published work submitted for examination and of a list of this work;
- (b) a 300 word summary, including a title for the collection of work;
- (c) the appropriate fee.
- (d) Candidates submitting work of which they are not the sole authors shall submit also a statement explaining the part which they have played in the work in such a way that it can be related to each publication included in the submission; the extent of the contribution of others will be taken into account by the examiners in assessing how far the candidate's work meets the criterion for award of the degree. Work that has not been published and appeared in print shall not be taken into account by the examiners.

4.92.

A candidate for the degree of Doctor of Letters, Doctor of Science or Doctor of Laws shall be examined by three examiners appointed for the purpose by the Dean; not more than one examiner may be a member of the academic staff of the University of Essex, except in the case of candidates who are themselves members of the academic staff of the University of Essex who shall be examined by three external examiners. Candidates may be required by the examiners to present themselves for oral examination.

4.93.

The examiners shall recommend that the result of the examination shall be 'pass' or 'fail'. The Vice-Chancellor on behalf of the Senate shall receive the report and recommendation of the examiners. The Vice-Chancellor determines the conferment, or otherwise, of the degree.

4.94

One copy of the publications submitted by a successful candidate for the degree of Doctor of Letters, Doctor of Science or Doctor of Laws may be retained by the University Library and will in that event become the property of the University.

Title	Principal Regulations for Higher Doctorates 2020-21			
Document manager	Laura Ruddick, Senior Postgraduate Research Education Manager			
Section	Postgraduate Research Education, Academic Services			
Document owner	Laura Ruddick, Senior Postgraduate Research Education Manager			
Approved by	Senate			
Date of approval	June 2017			
Effective date	1 August 2020			
Frequency of review	Annual			
Date of last review	June 2020			
Date of next review	June 2021			
Document status	Approved - Published			
Document classification	Public			
Reporting required	Not applicable			
Questions and queries	pgresearch@essex.ac.uk			
Related policies	 University Regulations The Code of Practice for Postgraduate Research Degrees (.pdf) The Code of Practice for Professional Doctorates (.pdf) Appointment of an Independent Chair (.pdf) Dual and Joint Research Awards (.pdf) Conduct of a Research Degree Viva by Video Link (.pdf) Guidance Notes for Submitting a Thesis as a Series of Papers (.pdf) Progress and Appeals Procedures for Research Degree Students (.pdf) Thesis Submission, Deposit and Retention (.pdf) Student Concerns and Complaints Procedure (.pdf) 			
Supersedes	Principal Regulations for Higher Doctorates 2019-20			
Superseded by	Not applicable			
Stakeholders	Pro-Vice-Chancellor (Education); Pro-Vice-Chancellor (Research); Dean, Postgraduate Research and Education; Deputy Deans (Postgraduate Research Education); Academic Registrar; Heads of Department; Graduate Directors; Graduate Administrators; International Services Team; Student Wellbeing and Inclusivity Service; Students' Union and SU Advice; PGR Students; Postgraduate Research Education Team			
Stakeholder role	Not applicable			
If you require this document in an alternative format, such as braille, please contact Laura				
, , , , , , , , , , , , , , , , , , , ,				

Ruddick, Senior Postgraduate Research Education Manager.